Case 5

Enhancing Learning and Teaching Effectiveness with Formative Assessment in the Classroom

ELCHK Lutheran Secondary School

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School

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Background

ELCHK Lutheran Secondary School is a Chinese medium-of-instruction school in which students have different levels of English abilities. Catering for the vast learner diversity in class has been challenging. The teachers wanted to explore how the use of formative assessment in the classroom would enhance learning and teaching effectiveness.

Listening was selected as the focus of collaboration in the first semester. It has been identified as the most challenging among the four skills in language learning for students. In the second semester, reading was selected as the focus of collaboration. To boost students' confidence and strengthen particular reading skills before promoting to S4, the teachers planned to make use of the post-examination period to teach a Hong Kong Diploma of Secondary Education (HKDSE) Paper 1 reading text of the appropriate level of difficulty.

Different classroom assessment tools have been deployed to deal with the difficulties faced by students in both listening and reading. The teachers decided to equip S3 students with selected listening and reading skills to boost their confidence.

The teachers wanted to try out how the provision of quality feedback to students would enhance the effectiveness of learning and teaching. The use of a backward planning model became part of the collaboration plan. To provide more specific feedback, the teachers implemented formative assessment activities in the classroom and learning practices based on the task and the strategies discussed during the collaborative lesson planning sessions.

Level

Secondary 3

Strategies Used

1. Needs Analysis: Use of Vocabulary Inventory

The lack of vocabulary was one of the reasons of the difficulties for the students in attempting listening and reading tasks.

The vocabulary inventory also served as a needs analysis. The inventory was given to students as a pre-task. Based on the answers, the teachers collected information on students' prior knowledge of the vocabulary items. Lesson time was saved as vocabulary items that students already knew did not have to be taught.

During the lesson, students were asked to read the words in the inventory aloud to make sure that they knew the pronunciation. The goal was to provide input as support, which helped students to be more confident when listening to the recording.

5	00	p. 25	
Try it out (p.25) Vocabulary Inventory		Announcer:	Three friends are talking about a film that they have watched. Liste to the conversation and complete the table.
whether it seems familiar (?), or wh	arefully. Then mark whether you know the word (+), hether you don'y know the word at all (0).	lvy:	What did you think about the film, George?
Word / Phrase	+/?/0	George:	In my opinion, some of the scenes are 2 too violent, the fighting
1. entertaining 2. fake		George.	scenes are selong and there is so much blood in every shot De
3. gripping			
4. original 5. plot			you think so, Ivy?
6. scenes		lvy:	Well, I agree some parts are (4) unpleasant to watch. I almost had
			cover my eyes for some of the scenes. But personally speaking, I
7. unpleasant			cover my eyes for some of the scenes. But personally speaking, i
		b	
. violent		B	think the storyline is quite (3) original. You rarely see a zombie film
violent Heabulary List	Manning	B	think the storyline is quite ⁽³⁾ original. You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable? W
	Meaning 有趣的	B	think the storyline is quite ⁽³⁾ <u>original</u> You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable? W do you think about the film?
8. Vident Vocabulary List Word / Phrase 1. entertaining 2. fake	有難的 一個的	Mable:	think the storyline is quite ⁽³⁾ original. You rarely see a zomble film that's set in the Middle Ages, do you? What about you, Mable? W do you think about the film? Hmm As I see it, the best thing about the film is the ⁽⁵⁾ <u>gripping</u>
8. Vocabulary List Vocabulary List Word / Phrase 1. entertaining 2. fake 3. gripping	有趣的 假的 引人入勝的	Mable:	think the storyline is quite ⁽²⁾ <u>original</u> . You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable? W do you think about the film? Hmm
Vocabulary List Word / Phrase entertaining fake gripping d, original	有趣的 假的 引人入勝的 原作的	Mable:	think the storyline is quite ⁽³⁾ <u>original.</u> You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable? W do you think about the film? Hmm
8. Wolent Vocabulary List Word / Phrase 1. entertaining 2. fake 3. gripping	有趣的 假的 引人入勝的	Mable:	think the storyline is quite ⁽¹⁾ <u>ortainal</u> . You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable? W do you think about the film? Hirm As it set if, the best thing about the film is the ⁽¹⁾ gigging plot. The film kept my attention from start to finish. But on the oth hand, some of the make-up on the zombies is kind of ⁽¹⁾ <u>fals</u> . I co
Volent Vocabulary List Word / Phrase entertaining entertaining gripping d, original s. plot 6. scenes 7. unpleasant	有動的 個的 引人人勝的 原作的 情報 場景/場面 使人或覺不舒服的	Mable:	think the storyline is quite ⁽³⁾ original. You rarely see a zomble film that's set in the Middle Ages, do you? What about you, Mable? W do you think about the film? Hmm As I see it, the best thing about the film is the ⁽⁵⁾ <u>gripping</u>
Wolent Vocabulary List Word / Phrase entertaining fake agripping d. original forginal fold 6. scenes	有趣的 假的 引人入勝的 原作的 情節 場景/場面	1	think the storyline is quite ⁽³⁾ <u>original.</u> You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable? W do you think about the film? Himm
Vocebulary List Vocebulary List Vocebulary List Vord / Phrase entertaining gripping plot plot	有動的 個的 引人人勝的 原作的 情報 場景/場面 使人或覺不舒服的	Mable:	think the storyline is quite ⁽³⁾ <u>original</u> . You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable'? W do you think about the film? Himm As it see it, the best thing about the film is the ⁽³⁾ <u>original</u> plot. The film kept my attention from start to finish. But on the oth hand, some of the make-up on the zombies is kind of ⁽³⁾ <u>fake</u> . Ico see parts of the actors' sits in showing. They should do a better job covering their faces. I didn't notice that when I was watching the film. Maybe that's
Solent Vocabulary List Word / Phrase entertaining fake gripping orginal plot scenes unpleasant	有動的 個的 引人人勝的 原作的 情報 場景/場面 使人或覺不舒服的	1	think the storyline is quite ⁽³⁾ <u>original</u> . You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable? W do you think about he film? Himm As I see it, the best thing about the film is the ⁽³⁾ <u>origoing</u> plot. The film kept my attention from start to finsh. But on the oth hand, some of the make-up on the zombies is kind of ⁽⁶⁾ <u>dake</u> . I co see parts of the actors' skin showing. They should do a better job covering their faces. I didn't notice that when I was watching the film. Maybe that's because I looked away every time the zombies appeard But
Solver Vocabulary List Word / Phrase entertaining fake argignal plot scenes runpleasant	有動的 個約 引人人勝的 原作的 情報 場景/場面 使人或覺不舒服的	1	think the storyline is quite ⁽¹⁾ <u>ortainal</u> . You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable'? W do you think about the film? HirmAs i see if, the best thing about the film is the ⁽¹⁾ gigging plot. The film kept my attention from start to finsh. Bud on the oth hand, some of the make-up on the zombies is kind of ⁽¹⁾ <u>fake</u> . I co see parts of the actors' sion showing. They should do a better job covering their faces. I didn't notice that when i was watching the film. Maybe that's because I locked away every time the zombies appearedBut other than that, I think the film is '0 <u>entertaining</u> overall. There we
wolet Vocabulary List Word / Phrase . entertaining . fake . gripping . original . plot . scenes . unpleasant	有動的 個約 引人人勝的 原作的 情報 場景/場面 使人或覺不舒服的	1	think the storyline is quite ⁽³⁾ <u>original</u> . You rarely see a zombie film that's set in the Middle Ages, do you? What about you, Mable? W do you think about he film? Himm As I see it, the best thing about the film is the ⁽³⁾ <u>origoing</u> plot. The film kept my attention from start to finsh. But on the oth hand, some of the make-up on the zombies is kind of ⁽⁶⁾ <u>dake</u> . I co see parts of the actors' skin showing. They should do a better job covering their faces. I didn't notice that when I was watching the film. Maybe that's because I looked away every time the zombies appeard But

Figure 1: Words selected for the vocabulary inventory included key words from the recording

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•	vesign	of vocal	bulary II	nventory 📈
	_			
				MILLE
	Pre-reading Task Vocabulary Inventory			
		phrase below. Then mark whether you	Look carefully at each wo	rd below. Then mark whether you know
		t seems familiar (?), or whether you		tems familiar (?), or whether you don't
)	Ward	+/ 2 / 0	Ward	+/ 2 / 0
	1. usual	3	word	
	2. sort of	7		
-	3. stuff 4. let alone	7		
	4. Pet alone	9		
	5. depression	13		
	6. loneliness			
	7. make somebody's day	25		[1] The website The World Needs More Low
	7. make somebody's day	45		Letters aims to spread joy through letters. No
	8. random	28		through emails, or your usual love letters, written
	9. global	31		to real people you love, but surprise letters for
-	10. conference	31		5 strangers. These letters don't say "I love you", bu
	11. slipped			they are full of kindness, telling people how
	12. campus			special they are. It's the sort of stuff that most
-	13 Homested	43		neople don't really say out loud even to the people
	T 1.4 Aribble	45		they care about, let alone a total stranger.
	11 H	40		tney care about, ici aione a totai stranger.
TTTT				

Figure 2: Design of the theme-based vocabulary inventory for the HKDSE reading task

Students were required to indicate their knowledge of each vocabulary item by using the symbols: '+', '?', or '0'. These symbols mean 'I know the word', 'The word seems familiar' and 'I don't know the word' respectively. Students also made notes of some explanations of the words for their own learning.

The purpose of using the inventory was for the teachers to quickly spot which vocabulary items required explanation and which ones did not.

		STU	dent	work		
Pre-reading Task			Pre	reading Task		
Vocabulary Inventory	article		Voc	abulary Inventory		
Look carefully at each word/phra- know the word (+), whether it see don't know the word at all (0).	e below. T ms familiar	hen mark whether you r (?), or whether you	kn	ok carefully at each word/phrase ow the word (+), whether it seen a't know the word at all (0).	belov is fam	r. Then mark whether you iliar (?), or whether you
Word		+/?/0		Word		+/ ? / 0
1. usual	3	+	1.	usual	3	+
2. sort of Ked A	7	j	2.	sort of	7	U
3. stuff Hings	7	+	3.	stuff things	7	1
4. let alone we to mention	9	+	4.	let alone not to mention	9	1
5. depression	13	3	5.	depression	13	0
6. loneliness		+	6.	Ioneliness		7
7. make somebody's day	, 25	0	7	make somebody's day	25	+
7. make someoody s day		0		do comething to make some		
8. random	28	+	8.	random	28	appy 2
9. global	31	+	9.	global	31	4
10. conference meeting	31	+	10.	conference meeting	31	7
11. slipped		2	10.	slipped	51	7.
12. campus select		0	11.	campus		0
					100	,
13. requested ask	43	+	13.	requested ask for sth	43	0
14. scribble	46	0	14.	scribble	46	0
(v.)			100 C			

Figure 3: Sample student work of the vocabulary inventory for the reading task

2. Self-Questioning – Self-Checking as Formative Assessment

Teachers expressed one of the most common reasons for students to make mistakes in listening is their lack of awareness of the purpose or subject matter of the listening tasks. The use of self-questioning in a pre-listening task was tried out in order to develop students' listening habits.

Since students are usually not familiar with the context of a listening task, it is important for them to understand the context and the topic. Very often, students find that the content of a recording not making sense. This pre-task could be considered as an example of assessment as learning. The aim of it is to raise students' awareness of the importance of knowing about their role and the listening task(s) they have in hand.

When self-questioning was applied, students developed a clearer concept about who they were and what had to be done. They were found to be more confident in attempting the listening task.





To make better sense of the text and tasks, the self-questioning task required students to ask questions before, during, and after reading the instructions and materials. This was part of active reading. For skilled readers, the self-questioning quickly became automated.

Given that students better understand their role and the situation, it is still common for students to make mistakes with pronouns and tone, etc. Prediction making is what active learners go through before reading or listening to a text. This is when they predict what they are going to hear or read. Students predict what vocabulary they may hear, and what emotions the speaker(s) in the audio may feel. They listen to confirm their ideas. It is easier for students to use their prior knowledge to make sense of what they are about to listen to.

The self-questioning task is an example of both assessment for learning and assessment as learning. In addition to students performing the task as a self-check to raise awareness, the teachers were able to make use of the answers provided by students to feed back on the progress of learning and teaching, which helped inform the next step of teaching.

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Students in the school who struggle with reading comprehension are often passive readers. They can read and understand vocabulary items at word level but have problems connecting words and sentences to make meaning. Passive readers are unable to visualise what they read and they fail to connect details to people, places and events. Students who struggle with comprehension may read a passage from a text and not be able to recall key points or details. Self-questioning is applied in reading to help students improve through self-checking.

Self-questioning helped the passive readers to become more active by providing directions to their reading. During and after reading the passage, students asked themselves questions. The teachers directed students to read for specific information so that the questions could be answered. High-achieving students and competent readers employed active-reading strategies, such as self-questioning. As a result, they continually reflected on their understanding and employed strategies such as re-reading. By internalising this habit of self-questioning, students were themselves engaging in assessment as learning. They were more aware of the various types of essential information crucial for the thorough understanding of a reading text.

What is the text type?	an email/ an article / a leaflet/ a report/ a proposal	MI
What is the genre?		
What is the language and tone?	formal/ semi-formal	
Who is the writer?	· · · · · · · · · · · · · · · · · · ·	
Who are the target audience?		
Where is this text likely to be found?		
What is the purpose?		
Writer's message		
ATTA		

Figure 5: Self-questioning task for reading

S3 students were provided with a set of questions for self-questioning. This set of questions could in general be applied to other texts. The ultimate goal of getting students to work on these self-questions was that they would internalise this framework after repeated practice. This served as a framework to guide students in how the reading of texts should be

approached. Questions on text source, text type, genre, language, tone, purpose of the text and writer's message, etc., were included.

With practice, students were able to familiarise themselves with the above-mentioned elements and thus, better comprehend the text.

As with tackling the listening tasks, the teachers used self-questioning tasks as a self-check to raise students' awareness. They also made use of students' answers to this formative assessment to give feedback on the progress of learning and teaching. Thus, informing the next step of teaching.

3. Note-Taking and Note-Making

The teachers assessed students' learning with the notes they took. Some students had not developed the habit of predicting answers or identifying useful information that might appear before a recording begins. As a formative assessment task, their predictions were checked by the teachers prior to listening to the audio clip.

The teachers guided students in distinguishing the nature of words. Students identified the words with facts and opinions before listening to the recording. This gave the teachers information on whether students had understood the context well enough to proceed, or if (re-) teaching was needed.

Try it out (p.25)	
Who are you?	
	akers? <u>George</u> , ly and Moble.
What are they to	0
Keywords I'll pa	y attention to are
Go Try i	t out!
	friends are talking about a film that they have watched. Listen to the conversation mplete the table. 🕥
lvy (Girl)	The storyline is quite (3) and original. (4) uppleasant to watch.
Mable (Givi)	The film has a/an (5) arcpring plot. The make-up on the zombies is rather (6) file file (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)

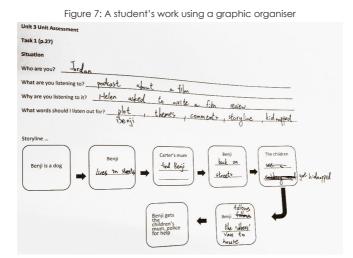
Figure 6: A student's note-making



Based on students' notes, the teachers provided feedback to students on whether they were heading to the right direction for predicting and/or expecting the information to be heard in the recording.

4. Use of Graphic Organisers

The teachers made use of graphic organisers as a tool for formative assessment, and to visually present the organisation of the text. When the listening component was a narrative one, a flow chart was adopted. The sequence of events could then be clearly presented to the learners.



This task was carried out prior to the actual listening to the audio clip. By reading the instructions and questions, students made guesses about the development of the story. When the teachers walked around the classroom and collected information about students' work, they were not looking for correct answers. On the contrary, they were looking for common inaccurate predictions made, after which they provided students with immediate feedback on how the predictions could be made more accurately.

Students were more familiar with the use of a graphic organiser, as they had tried it with listening task(s) in the first semester. In the second semester, the teachers provided only an empty graphic organiser for the reading task. Students had to think of and select important and relevant information for each box.

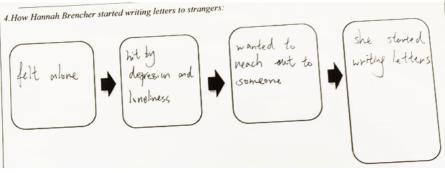


Figure 8a: A student's work using a graphic organiser

Figure 8b: A student's work using a graphic organiser

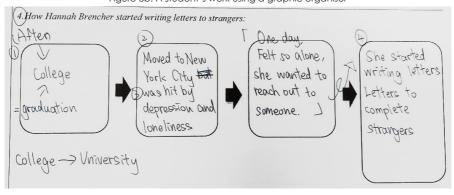
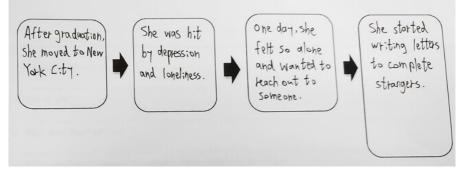


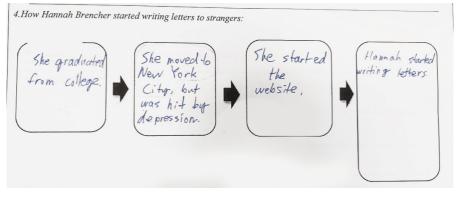
Figure 8c: A student's work using a graphic organiser

4. How Hannah Brencher started writing letters to strangers:



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Figure 8d: A student's work using a graphic organiser



This presented a challenge to students. The teachers spotted many different answers, some of which were less important information that was not supposed to be put in the graphic organiser. This informed the teachers on to what extent students understood the text and were able to identify the important episodes.

5. Backward Planning Model

A backward planning model was applied in the learning and teaching of reading. The learning and teaching goal, i.e., main idea and referencing, were clearly defined right at the beginning of the planning stage.

The learning-teaching-assessment (L-T-A) cycle was strengthened through thorough discussions, follow-up work of adaptation, and trial of new strategies for students to learn about main ideas and references in reading.

The skills to be tested were determined prior to the teaching of the reading skills. See Figure 9 for the questions and testing points set for the pre-test and post-test.

		Class (No. of Students)	3A	3B	3C (3D
Referencing	Q8	What does 'they' (line 18) refer to?	18	17	0	
Referencing	Q11	What is 'the problem' (line 20)?	10	10	10	
Main ideas	Q17	Which of the following is the best alternative title to Text 4?		- 10		10
		Class	3A			3D
Referencing	02	(No. of Students) What does 'the exact same thing' (line 3)				
Referencing	Q2	(No. of Students) What does 'the exact same thing' (line 3) refer to?				
Referencing Referencing	Q2 Q14	(No. of Students) What does 'the exact same thing' (line 3)	00 N 10	- 10 - 20 - 20		

Figure 9: Aligning teaching with the questions and testing points set at the pre-and post-tests

Actions Taken

The planning of the collaboration commenced after the whole-school assessment literacy workshop which was conducted in late August 2021.

During the preparation stage, there were discussions on which of the four language learning skills should be chosen as the focus. Listening was selected.

An assessment literary workshop for English teachers only was conducted in late October 2021. At this occasion, assessment that could be further improved at both curriculum and department levels was explored.

With reference to the needs analysis and students' learning needs, reading was chosen as the focus of collaboration for the second semester.

Despite the suspension of the project during the 'special vacation' that took place from March 2022 to April 2022 due to the pandemic, collaborative lesson planning sessions were conducted throughout the academic year.

Lesson observations were conducted in December 2021 and June 2022 and evaluation of the collaboration was conducted in June 2022.

Impact

1. Student Level

The teachers described how the majority of students were rather passive in doing listening tasks, which was due to the fact that they were unfamiliar with the instructions, headings, prompts and choices that were given to help them predict the likely development of the task in hand. Students also had difficulties in predicting the vocabulary that they would be hearing in the recording and in making guesses about the possible answers.

The teachers had done a lot in terms of reading, from recognising the words to understanding the meaning of words in context. When students encountered vocabulary that they did not know of or recognise due to inaccurate decoding, they were likely to skip and move ahead. For students, the more blanks in a line of text, the harder it is to make meaning of the text and the more difficult the reading task becomes. Moreover, students had to identify the main ideas and specific details and make inferences about what they were reading.

With different strategies and tasks being tried out, students were more aware of the task at hand. Many students were seen beginning to develop the habit of keeping a vocabulary list based on the theme-based vocabulary inventory provided by the teachers.

Students were seen to be more aware of contextual clues, and better preparing themselves for the tasks ahead. Students were also more confident in attempting short listening tasks.

Students, the more able ones in particular, were gradually understanding the importance of getting the main idea of each paragraph. They realised how the use of graphic organisers could help them in achieving this.

2. Teacher Level

The collaboration has enhanced the teachers' assessment literacy. The teachers agreed that the use of various formative assessment tasks in the classroom was very useful in informing them about what the next step of teaching should be. Students' performance in the

assessment activities provided useful feedback on learning and teaching. The data collected in this way were more accurate and hence more beneficial than those collected solely by observations during class. 72

The teachers' feedback and effective modeling of answers increased students' confidence in listening tasks. With their enhanced knowledge and skills in assessment for learning, the teachers placed more focus on providing students with immediate oral feedback during lessons and adjusted their teaching strategies accordingly.

The teachers also became more aware of making learning explicit, and they practised applying different formative assessment tools, which helped cater for diverse learning needs of each class.

It was obvious that the above strategies facilitated students' learning and will be adopted in the future. The teachers are better informed about students' strengths and weaknesses with formative assessment in the classroom carried out.

3. Curriculum Level

The L-T-A cycle has been strengthened. Clear learning goals were set during the planning stage. What students were targeted to achieve, was aligned with the assessment goals. In order to achieve this, the assessment set was aligned with the teaching.

Given the encouraging results seen in the reading tests, the alignment of the written, taught and assessed curriculum will be further strengthened.

There are strategies and tools that can be used in the learning and teaching of both listening and reading.

The use of a vocabulary inventory, for example, has become a regular formative assessment activity in lessons. The teachers found this to be a very useful, yet easy-to-use tool for collecting information about students' learning and providing feedback for their teaching.

The use of graphic organisers as a formative assessment tool will also be included in the planning of the curriculum.

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4. Departmental Level and School Level

The English Language Department took this opportunity of collaboration to reflect upon learning and teaching through the use of formative assessment in the classroom.

At the internal dissemination for the entire teaching staff conducted at the end of the academic year, the assessment practices tried out in other subject departments were shared.

Item analysis was tried out in Mathematics Department. Moreover, the provision of immediate feedback to students by engaging them in formative assessments in the classroom were adopted in other subjects.

Way Forward

The collaboration this year has set the wheel in motion for further enhancement of formative assessment.

- a. Promotion of the following by the English Language Panel at other levels:
 - strengthening of the alignment of learning, teaching and assessment
 - · formative assessment activities in the classroom to facilitate assessment as learning
- b. The use of data to conduct a needs analysis in other subject panels.
- c. The use of internal dissemination to share experiences and ideas among different Key Learning Areas.

References

The following books are useful references on assessment literacy:

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